



HONG KONG ANTI-CORRUPTION FORUM



DATE: 26 MARCH 2015

LOCATION: THE EXCELSIOR HOTEL HONG KONG

With an increasingly complex regulatory and enforcement environment impacting corporations worldwide, Asian Legal Business is pleased to present "ALB Hong Kong Anti-Corruption Forum 2015" on 26th March in Hong Kong.

5.5 CPD POINTS

Continuous assessment and disciplined improvements are the lifeblood of an effective anti-corruption compliance program, and counter the risk associated with corruption and bribery. We invite you to join senior in-house legal counsel, compliance professionals and directors who will gather for a candid discussion on:

- How to integrate compliance with business strategy
- Developing the role of in-house counsel in decreasing the vulnerability to fraud and corruption
- Implementing and reviewing internal efficiencies to avoid high-risk actions that could jeopardize your business
- Raising awareness of activities that may have legal implications - how to deal with rogue operators within the company
- Negating reputational risks associated with corruption investigations
- Establishing core values & ethics and driving the compliance process
- Corruption Crackdown - managing bribery investigations for businesses and subsidiaries working across multiple jurisdictions

Detailed case studies to be explored at the event include:

- Creating, implementing and driving a watertight anti-corruption strategy
- Legal, ethical and business challenges for local and global operations
- How due diligence can be enhanced with third party contracts, M&A and joint venture activities, and the hiring of new staff
- Understanding the steps to take when an investigation occurs; what information you need to provide and the practical steps to do so

EXPERT SPEAKERS INCLUDE



KYLE WOMBOLT
Global Head,
Corporate Crime
and Investigation,
**Herbert Smith
Freehills**



SUSANNAH
LINDENFIELD
Managing
Director - Legal &
Compliance,
**The Blackstone
Group Ltd**



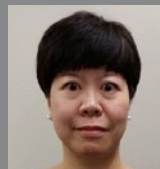
DEBORAH
KAPLAN
Anti-Corruption
Counsel,
Morgan Stanley



LORA YIP
Head of Legal &
Compliance, Asia,
**Vanguard
Investments
Hong Kong
Limited**



CHHOA PECK LIM
BELLA
Company
Secretary, General
Counsel, Assistant
Director -
Corporate Affairs,
**Hang Lung
Properties
Limited**



ALICE CHAN
Senior Assistant
Director of Public
Prosecutions,
Prosecutions
Division,
**Department of
Justice, Hong
Kong**



DAVID L BISHOP
Principal Lecturer,
School of Business
- Faculty of
Business and
Economics,
**The University of
Hong Kong**



TORSTEN
DUWENHORST
Forensic Partner
and Head of the
Forensic
Technology
Network
KPMG



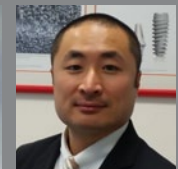
SARAH BOWER
Chief Legal
Counsel, Principal,
Transactions &
Restructuring,
KPMG



JAMES BIDLAKE
Executive
Director - Legal
and Compliance
Division,
Morgan Stanley



SOPHIA P.K. YAP
Global Deputy
Chief Ethics &
Compliance Officer,
CBRE



STANLEY
W.H. LUI
General Counsel
APAC,
**Nobel Biocare
Asia LTD**



KANISHK
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Deputy Editor,
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JIL LEONG
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Compliance,
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To book your place, please visit www.legalbusinessonline.com/conferences/HKAntiCorruption, or contact **Sheila Lum** on sheila.lum@thomsonreuters.com or call **+65 6870 3252**



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PROGRAM AGENDA

<p>09:15 Registration</p>		<p>Your Risk Profile and Design the Right Internal Controls</p>
<p>09:30 The Current Issues in Prosecution of Corruption Cases in Hong Kong</p> <p>Speaker ALICE CHAN, <i>Senior Assistant Director of Public Prosecutions, Prosecutions Division, Department of Justice, Hong Kong</i></p>	<ul style="list-style-type: none"> - What third party risks do you face, and how can you best manage these? How much detail should your screening checks cover? - Implementing best practice screening protocols and reputation checks for potential business partners, M&A's and new hires - How to deal with uncooperative parties, lack or loss of data and other risk factors - When and how to terminate a relationship with potential risk factors - Practical tips on how to avoid issues of corruption and how to deal with them if they arise 	<ul style="list-style-type: none"> - Setting the stage: recent trends in ABAc - Understanding your risk profile – define red flags - Developing a risk framework – qualify red flags - Utilize all available data internal and external data sources – identifying red flags - (Continuous) transaction monitoring – investigate into red flags - Reporting – a two pronged approach for management and C-Suite
<p>10:00 Keynote Legislative Update: FCPA / UK Anti-Bribery Act and Chinese Anti-Bribery legislation: what does this mean for Hong Kong?</p> <ul style="list-style-type: none"> - Updates on US and EU regulations; what's new and what's updated? - How will the recent bribery investigations in China affect your business – what will the GSK case mean to you? - How much cooperation is seen between local bodies and the SEC / FCA? 	<p>Speaker ROSHNI SUBAPANDITHA, <i>Sales Specialist for Enhanced Due Diligence, Thomson Reuters</i></p>	<p>Speaker TORSTEN DUWENHORST, <i>Forensic Partner and Head of the Forensic Technology Network, KPMG</i></p>
<p>10:20 How to improve anti-corruption training, both at professional and corporate level</p> <ul style="list-style-type: none"> - Compliance vs. in-house legal team – who should take the lead on anti-corruption training? - Moving from implementing staff training to the adoption of anti-corruption principals across the company 	<p>12:30 Networking Lunch</p>	<p>16:00 Panel Discussion: Protecting the reputation and reducing risks for your organization</p>
<p>Speaker DAVID L BISHOP, <i>Principal Lecturer, School of Business – Faculty of Business and Economics, The University of Hong Kong</i></p>	<p>13:40 Panel Discussion: Corruption Crackdown - managing corruption issues across multiple jurisdictions, subsidiaries and business partner</p> <ul style="list-style-type: none"> - Successfully overcoming objections by subsidiaries and contractors without US or EU compliance obligations - Navigating potential conflicts of interest with referrals during hiring, intern placements and awarding contracts to 3rd parties; what red flags should you be aware of? - Challenges for minority parties of joint ventures operating in high risk areas - Challenges frequently encountered in multi-jurisdiction enforcement actions - Case studies 	<ul style="list-style-type: none"> - Establishing core values & ethics throughout the business – a top down vs bottom up approach - Driving the compliance process - How to establish a culture of trust and transparency and foster a “speak up” culture within your business - How to establish a code of conduct and clearly articulate compliance procedures within the business
<p>Speakers JIL LEONG, <i>Assistant General Counsel, Global Growth & Operations, Thomson Reuters</i></p>	<p>Moderator KYLE WOMBOLT, <i>Global Head, Corporate Crime and Investigation, Herbert Smith Freehills</i></p>	<p>Moderator DAVID L BISHOP, <i>Principal Lecturer, School of Business – Faculty of Business and Economics, The University of Hong Kong</i></p>
<p>SARAH BOWER, <i>Chief Legal Counsel, Principal, Transactions & Restructuring, KPMG</i></p>	<p>Speakers YONG KAI WONG, <i>Managing Director - Head of Legal & Compliance, CITIC Capital</i></p>	<p>Speakers STANLEY W.H. LUI, <i>General Counsel APAC, Nobel Biocare Asia LTD</i></p>
<p>SOPHIA P.K. YAP, <i>Global Deputy Chief Ethics & Compliance Officer, CBRE</i></p>	<p>DEBORAH KAPLAN, <i>Anti-Corruption Counsel, Morgan Stanley</i></p>	<p>CHHOA PECK LIM BELLA, <i>Company Secretary, General Counsel, Assistant Director – Corporate Affairs, Hang Lung Properties Limited</i></p>
<p>11:00 Refreshment break</p>	<p>SUSANNAH LINDENFIELD, <i>Managing Director - Legal & Compliance, The Blackstone Group Ltd</i></p>	<p>LORA YIP, <i>Head of Legal & Compliance, Asia, Vanguard Investments Hong Kong Limited</i></p>
<p>11:30 Advanced due diligence for Anti-Bribery and Anti-Corruption; Negating the risks faced by business partners, third parties, sub-contractors and the supply chain</p> 	<p>14:40 Refreshment break</p>	<p>JAMES BIDLAKE, <i>Executive Director - Legal and Compliance Division, Morgan Stanley</i></p>
	<p>15:00 Effectively managing bribery investigations internally - Conducting an Effective Anti-Corruption Risk Assessment: How to Determine</p>	<p>16:55 Chair's closing remarks</p>
		<p>KANISHK VERGHESE, <i>Deputy Editor, Asian Legal Business</i></p>
		<p>17:00 Conference closes</p>

Do you want to reach out to an audience of in-house legal and compliance experts from Hong Kong and beyond? Do you have anti-corruption solutions or service suitable for corporates in Hong Kong and North Asia? Speaking and sponsorship opportunities are still available at this conference – for more information, please contact **Amantha Chia** on amantha.chia@thomsonreuters.com or call **+65 6870 3917**